

AN APPROXIMATE ANALYSIS OF RIB WAVEGUIDES

H. Shigesawa, M. Tsuji and K. Takiyama
 Department of Electronics
 Doshisha University
 Kamikyo-ku, Kyoto, 602 Japan

The transmission characteristics of dielectric rib waveguides are analyzed by the approximate mode matching method and their experiments with newly developed techniques confirm the validity of our analysis. Moreover, a more advanced method in consideration of the coupling between TE and TM modes is discussed.

Introduction

Typical waveguides for practical application of integrated circuits in the millimeter and submillimeter wave regions are usually rectangular strips of dielectric material that are placed on or embedded in an another dielectric substrate. A basic structure of such waveguides is a rib waveguide shown in Fig.1. This waveguide has indeed practical applications, but its open structure having irregular and unbounded boundaries makes it difficult to perform its precise analysis. In a rough approximation, however, there may be TE and TM waves with respect to the y direction, and the approximate analyses^{1,2} assume often the presence of only one type of these waves as a characteristic mode, i.e., TE_y or TM_y mode. Under this assumption, we can calculate the propagation characteristics by introducing a new approximation for eigen functions and by considering the boundary conditions in the least-squares sense.³

First, this paper will discuss such an approximation by comparison with many results obtained by the careful and precise experiments in the 50 GHz region. Afterwards, a more advanced approximation will be discussed by considering the coupling between both mode types which composes a new class of leaky modes predicted by Peng and Oliner.⁴

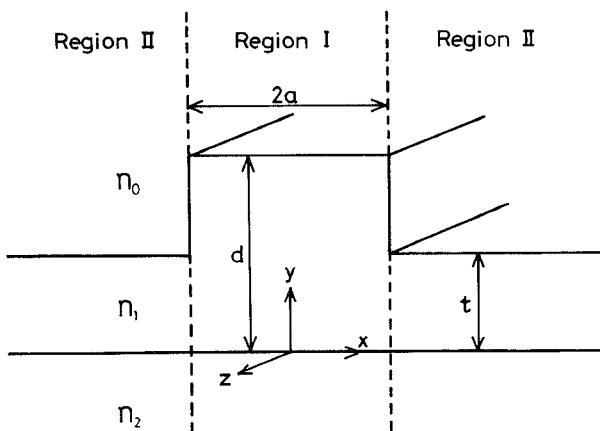


Figure 1. Schematic diagram of a rib waveguide.

Analytical Considerations

Now, let us subdivide this guide cross-section into two constituent regions, i.e., regions I and II, and consider separately each of those regions as if the region I is a rectangular dielectric waveguide and also the region II is semi-infinite slab waveguides. Then we assume that all the field components can be expanded only into the propagating eigen-modes with unknown modal amplitudes A_m and B_m in each region.⁵ For this assumption, the wavenumbers in the y direction can be easily found independently of those in the x direction from the well-known eigen-value equations of asymmetric slab waveguide.

We must next impose the continuity condition of the fields through the infinite y - z plane at $x=a$. However, in our approximation, it is impossible to match analytically the fields of both regions in the whole regions of $|y| < \infty$, so that let us fit the fields to this boundary condition in the sense of least-squares.

For this purpose, we introduce the mean-squares error F in the continuity condition of approximated fields as Eq.(3) in [5]. Thus the eigen-values of a rib waveguide will be obtained by minimizing F with respect to both amplitude coefficients A_m , B_m and the propagation constant h .

Experiments

In order to confirm the accuracy of the present approximation, experiments are performed carefully and precisely in the 50 GHz region. The experimental set-up is shown in Fig.2, where the rib waveguide consists of the polyethylene ($n_1 = 1.461$) and the air ($n_0 = n_2 = 1.0$), and two kinds of the movable grating couplers proposed for the loss measurement of a dielectric slab waveguide in the submillimeter-wave region⁶ are used effectively. One of these couplers is constructed by the steel wires of 1.4 mm in diameter arranged with the period of 3.2 mm on the rigid frame and used in the measurement of the TM_y mode. The other constructed by the dielectric lines of 1.0 mm in diameter with the period of 3.0 mm is used in the measurement of the TE_y mode. These couplers are movable along the propagating direction on a rib waveguide. The reception of the wave as well as its launching is performed by a pyramidal horn, and one of horn is mounted on the rotatable arm so as to measure the direction θ_{out} of a radiated wave from the grating coupler peculiar to each guided mode. The distance between the receiving horn and the coupler is 40 cm.

First, the phase constants for the TM_{0y} and TE_{0y} modes are measured from the radiation angle θ_{out} for various values of $2a/t$. The results of the TM_{0y} mode for $d/t = 1.5$ and 2.0 are plotted in Fig.3(a) and (b), respectively and also the result of the TE_{0y} mode for $d/t = 2.0$ is plotted in Fig.4 as a function of t/λ_0 . Moreover, Fig.5 shows the result of the next higher order TE_{1y} mode which has antisymmetry with respect to the y axis in Fig.1. In these figures, the solid and dashed curves indicate the theoretical values calculated by our analytical method in consideration of the

only lowest slab mode at each region I, II. As seen from them, the experimental results show the satisfactory agreement with the calculated ones, in spite of a poor approximation for the eigen functions. Furthermore, the careful comparisons between our analytical method and others have been done, and those results conclude that it is difficult to judge the quality of each method as far as the dispersion characteristics are concerned.

On the other hand, the attenuation constant of each mode can be easily found by measuring the radiation power from the coupler as a function of the transmission length between the launching horn and the grating coupler. Fig.6(a) and (b) show the results of the attenuation constants for the TM₀₁₀ mode in the waveguides with $d/t = 2.0$ and $2a/t = 3.0$, and for the TE₀₁₀ mode with $d/t = 2.0$ and $2a/t = 2.0$, respectively.

The inset in Fig.6(a) indicates an example of the relative output power as a function of the guide length, from which we may confirm the sufficient accuracy and reliability of our loss measurement. The solid curves denote the theoretical values of our method, assuming that the loss tangent $\tan\delta$ is 1×10^{-4} or 2×10^{-4} for a polyethylene. Considering the fact that the $\tan\delta$ of 2×10^{-4} is overestimated⁷, the measured values may be slightly greater than the theoretical one. This discrepancy is significant in some cases, even if there are some experimental errors, and we should examine the loss characteristics (i.e., the field expressions) more carefully at least by considering the coupling between fundamental TE₀₁₀ and TM₀₁₀ modes.

Discussions

For such an advanced approximation, the field expressions for a mode may be expanded into both TE₀₁₀ and TM₀₁₀ wave components as follows;

$$e^I = A E^I(\text{TE}_0) + B \tilde{E}^I(\text{TM}_0), \quad h^I = A H^I(\text{TE}_0) + B \tilde{H}^I(\text{TM}_0)$$

for region I

$$e^{II} = C E^{II}(\text{TE}_0) + D \tilde{E}^{II}(\text{TM}_0), \quad h^{II} = C H^{II}(\text{TE}_0) + D \tilde{H}^{II}(\text{TM}_0)$$

for region II.

Thus, for the mode which may exist when the $y-z$ plane at $x=0$ is replaced with an electric (a magnetic) wall, the predominant component in the total field (e, h) will be the TE₀₁₀ (TM₀₁₀) wave at least for the lowest mode, and the minor TM₀₁₀ (TE₀₁₀) wave produced by the coupling with the TE₀₁₀ (TM₀₁₀) wave will become leaky because of its cutoff nature in the x direction when the rib width $2a$ is narrow. In such a case, the rib waveguide supports a leaky mode, and its leakage loss has to add to the theoretical attenuation constants of Fig.6.

Such a leakage effect will disappear as the width $2a$ becomes wide, and after that we have to calculate the attenuation constant as a surface mode of which fields should be expressed by using not (E, H) or (\tilde{E}, \tilde{H}) but (e, h) . We are now performing such calculations for the attenuation constant, and these results will be presented during the talk.

References

- [1] W.V.McLevige, et.al., IEEE Trans Microwave Theory Tech., Vol.MTT-23, Oct., p.788, 1975.
- [2] H.Shibesawa, et.al., URSI National Radio Science Meeting, Boulder CO, B-5, 1979.
- [3] K.Yasuura, IOOC'77, Tokyo, A2-3, p.25, 1977.
- [4] S.T.Peng, et.al., Trans. IECE of Japan, E61, p.151, 1978.
- [5] H.Shibesawa, et.al., 4th Intern. Conf. on Infrared and Millimeter Waves and Their Applications, Florida, F-1-2, 1979.
- [6] M.Tsuiji, et.al., IEEE Trans. Microwave Theory Tech., Vol.MTT-27, Nov., p.873, 1979.
- [7] G.W.Chantry, et.al., Chem. Phys. Lett., Vol.10, Aug., p.473, 1971.

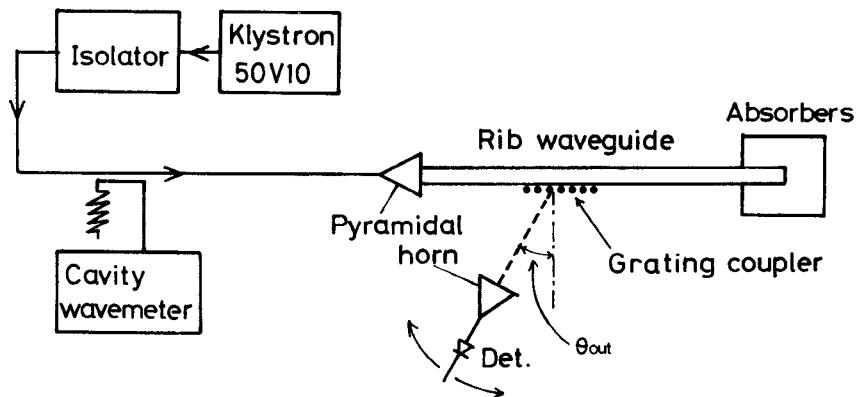
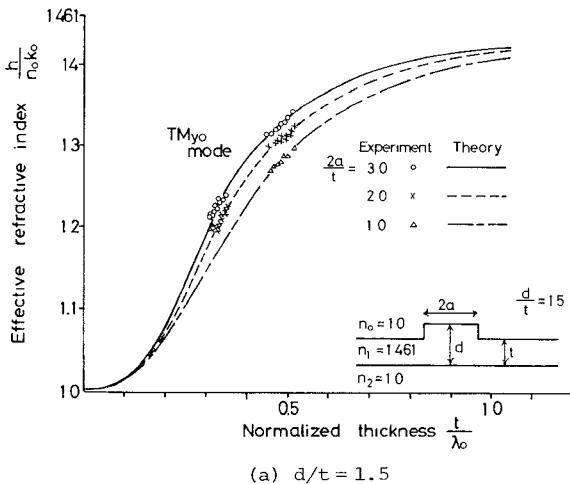
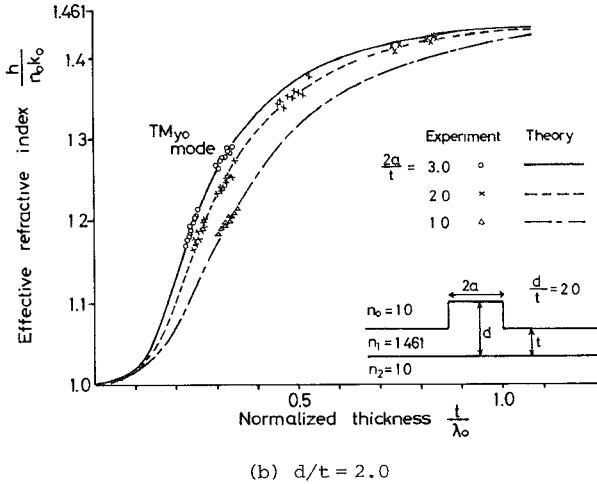


Figure 2. Illustration of experimental set-up.



(a) $d/t = 1.5$



(b) $d/t = 2.0$

Figure 3. Measurements of the phase constant for the TM_{yo} mode.

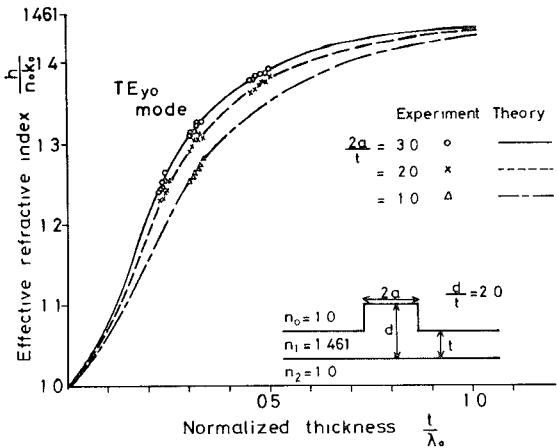


Figure 4. Measurements of the phase constant for the TE_{yo} mode with $d/t = 2.0$.

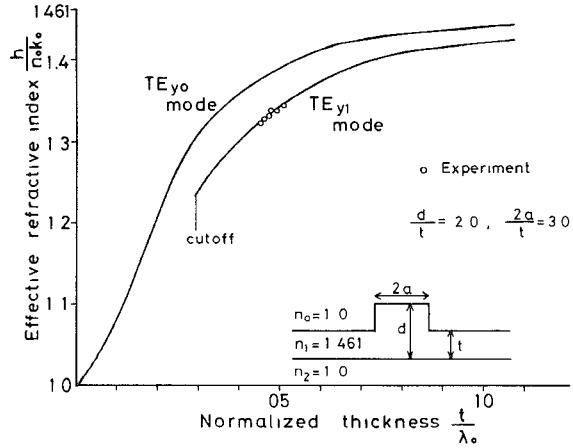
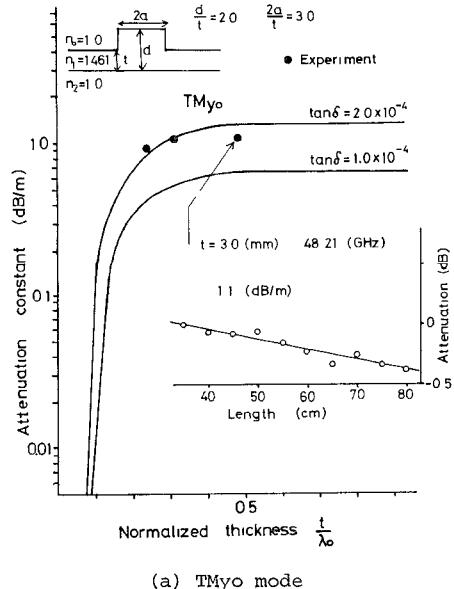
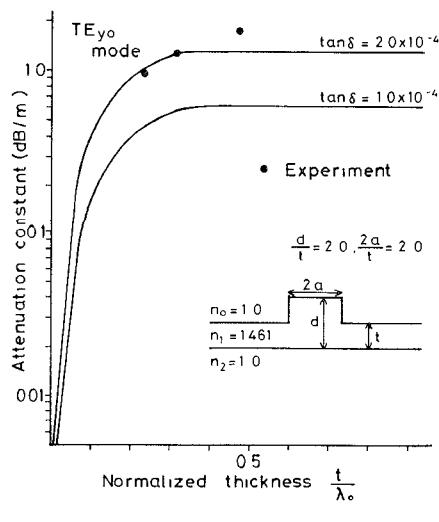


Figure 5. Measurements of the phase constant for the TE_{y1} mode with $d/t = 2.0$.



(a) TM_{yo} mode



(b) TE_{yo} mode

Figure 6. Loss measurements.